



# CHANAKYA UNIVERSITY

(Established Under the Chanakya University Act - Karnataka Act No 37 of 2021)

CU/RO-02/01(01)/2026

Date:06-01-2026

## NOTIFICATION

**Subject: Announcement of PhD regulations 2023.**

The Academic Regulation governing PhD programs offered by the University are hereby notified on the recommendation of the Academic Council and subsequent approval of the Board of Management on 06<sup>th</sup> January 2026. This regulation is made effective retrospectively from the academic year 2022-23

  
Registrar



### GLOBAL CAMPUS

Haralur & Polanahalli Villages, NH-648  
Devanahalli Taluk  
Bengaluru Rural - 562165

**The Regulations Governing Ph.D. Programme of  
Chanakya University, Bengaluru -**

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**NOTE: Version approved by the BoM in its 34<sup>th</sup> Meeting held on 06.01.2026.**

  
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## 1. Preamble:

Doctor of Philosophy degree recognises independent research of a Scholar in **Individual or Multiple (multi-disciplinary)** domains of study, as evidenced by original and novel contribution for theory building through an **Objective and Empirical** process. As Chanakya University envisions knowledge creation for social transformation, theoretical, empirical, applied and action research is accorded high priority with a focus on encouraging inter/multi-disciplinary approaches in exploring solutions rooted in India for **global challenges**.

The following regulation is a guide towards ascertaining the **Standards and Procedures** for the PhD Programme of Chanakya University. This document is guided by the **University Grants Commission (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations, 2022**, and will adapt to any further notifications issued by the University Grants Commission (UGC) to ensure compliance to all benchmarks laid down, only adding above the benchmarks for quality and integrity.

## 2. Title, Application & Commencement:

This document shall be called, '**The Regulations Governing Chanakya University Ph.D. Programme – 2023**'. These Regulations are applicable to candidates seeking admission to the PhD Programme and shall come into force on its approval by the Academic Council and assent by the office of the Chancellor, Chanakya University.

This shall come into force from the Academic Year commencing from 2022-23.

## 3. Centres of Research:

Doctoral studies shall be undertaken in Schools/ Centres of Chanakya University and Research Institutes/ Organizations of eminence, which are approved by competent authorities and recognised as **Research Centres as per the Statutes of the University**. With regard to the latter, an official MoU has to be signed between the Research Centre and the University as well as the Principal Supervisor should be from the University.

## 4. Categories of Research Scholars:



a) **Full Time PhD Scholars:** Scholars who pursue Research in the University or the recognized Centres, under the guidance of a Research Supervisor till the **submission of the Thesis**, as Regular students attending to Research and any other assigned responsibilities that include but are not limited to teaching specific courses, assisting in administrative tasks, undertaking project work.

b) **Part Time PhD Scholars<sup>1</sup>:** A Scholar shall be considered as a Part Time scholar subject to the terms of engagement regarding attendance and assigned responsibilities, as decided by the concerned School Research Committee (SRC).

**Conversion:** The University may permit the conversion of scholars from Full-time to Part-time or vice-versa in respect of registered candidates for valid reasons as recommended by the Doctoral Advisory Committee (DAC) subject to the approval of the SRC. **The conversion is allowed only once during the complete period of research, subject to a prescribed fee but not allowed for those who have already completed (after the Course Work) one year as full time and two years as part time Research Scholars.** In case of conversion, the eligibility for Research Fellowship shall be subject to review by the SRC and approval by the Vice Chancellor (VC).

#### 5. Eligibility for Admission<sup>2</sup>:

1. A 2-year/4-semester Master's degree Programme (with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed) after a 3-year Bachelor's degree Programme (at least 60% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed) OR
2. A 1-year/2-semester Master's degree Programme, as stipulated by the University Grants Commission (with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed) after a 4-year/8-semester Bachelor's degree Programme (with at least 60% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed)

<sup>1</sup> Currently, the University does not offer this option, and a Notification will be issued at the time of initiating this Category.

<sup>2</sup> [https://www.ugc.gov.in/pdfnews/0909572\\_Minimum-Standards-and-Procedure-for-Award-of-PhD-Degree.pdf](https://www.ugc.gov.in/pdfnews/0909572_Minimum-Standards-and-Procedure-for-Award-of-PhD-Degree.pdf), last accessed on 01.05.2024.



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3. An equivalent qualification from a recognised and officially accredited foreign educational institution. The candidate is responsible for producing an Equivalence Certificate as issued by a competent authority – Association of Indian Universities (AIU).
4. A 4-year research UG degree with 75% marks from a recognised Indian university or a similar degree with appropriate equivalent grade from an overseas university that is duly recognised in India. A waiver of up to 5% marks could be considered on the overall CGPA. The final decision will rest with the relevant School Research Committee considering semester-wise grade sheets, along with other outputs as required during the admission processes described in this Regulations.

The University shall encourage scholars **pursuing research in emerging and applied domains**, which may be **Inter / Trans / Multi-disciplinary** in nature. Hence, on proven competence as decided by the University Research Board (URB), a candidate may be allowed to take up research in any domain, **without having the requisite PG/ UG degree** in the stated domain of research. Any eligibility for concessions will per complied as per the UGC Notification of 2022.

#### 6. Glossary:

**Research:** A systematic investigation into specified domains in seeking to establish facts, find solution or to reach new conclusions to contribute to the body of knowledge under the guidance of a nominated supervisor.

**Regulations:** Procedures guiding the process of executing the PhD Programme to align with the stated outcome and Vision of the University.

**Discipline:** A chosen Domain of learning comprising of related courses and which indicate the Nature of the Programme.

**Multi-Disciplinary:** Combining several academic Programmes or specialisations in addressing a research problem, e.g., Public Policy.

**Inter Disciplinary:** Domains of study related to more than one discipline with interactive understanding of Concepts, e.g., Entrepreneurship.

**Trans-Disciplinary:** Going beyond the boundaries of Disciplines to seek a holistic approach in seeking knowledge or establishing truth, e.g., Bio-Informatics.



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**CGPA:** Cumulative Grade Point Average is a Grading method on a scale of 10, which considers the Average credit points earned on the total courses taken during the Programme. It is expressed up to two decimal places.

**RIC:** Research and Innovation Council, the apex body headed by the Vice Chancellor to guide the Policies and Procedures related to Research and Innovation in the University.

**URB:** University Research Board, headed by the Vice Chancellor comprising Deans of Schools, invited members by the Chair, regulating and overseeing all research at the University.

**SRC:** School Research Committee, headed by the Dean of School, in charge of offering the PhD degree Programme from the School, and dealing with content and quality of the theses and course offerings.

**DAC:** Doctoral Advisory Committee, headed by the Research Supervisor, in charge of guiding the research of individual Scholar, and constituting the Research Supervisor and two other members. At least one of these must be a serving academic in a recognised institution of higher learning.

**CUPS:** Chanakya University PhD Selection process, conducted by the University to select mutually suitable candidates for the PhD Programme; comprising a 3-stage process of scrutiny of applications (35%), a 2-hour online session on a date announced by the University to read and respond to questions related to a published relevant Research article (35%), and a personal interview (30%).

**JRF:** Junior Research Fellowship (JRF) is a scholarship programme open to candidates who qualify in the National Eligibility Test-Junior Research Fellowship (NET-JRF) of the University Grants Commission (UGC) and the UGC-Council of Scientific and Industrial Research (UGC-CSIR) joint test, to provide opportunities to NET-JRF qualified candidates to undertake advanced studies and research leading to M.Phil/Ph.D. Degrees in Humanities and Social Sciences including Languages and Sciences<sup>3</sup>.

**UGC NET/ KSET:** National Eligibility Test conducted by the UGC and Karnataka State Eligibility Test conducted at the State level to determine the eligibility of candidates basis their scores (a) to award JRF and (b) be appointed as Assistant Professor for those with a Master's degree<sup>4</sup>.

<sup>3</sup> [https://www.ugc.gov.in/pdfnews/8821047\\_revised-JRF.pdf](https://www.ugc.gov.in/pdfnews/8821047_revised-JRF.pdf), last accessed on 01.05.2024.

<sup>4</sup> [https://www.ugc.gov.in/pdfnews/6669193\\_Letter-NET-for-Admission-to-PhD.pdf](https://www.ugc.gov.in/pdfnews/6669193_Letter-NET-for-Admission-to-PhD.pdf), last accessed on 01.05.2024.



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**GATE:** Graduate Aptitude Test in Engineering, conducted by the IISC and IITs at the national level, to assess candidates for comprehensive understanding in various undergraduate-level subjects in Engineering, Technology, Science, Architecture, and Humanities, for admission into the PG Programme and Recruitment by some Public Sector Companies

**Research Supervisor:** A qualified and permanent faculty member with a PhD Degree of the University and complying with other conditions specified in the UGC Guidelines of 2022, who shall guide an individual scholar towards the completion of the PhD Programme.

**Research Co-Supervisor:** An expert backed by knowledge and experience from within/outside the respective School/University to advise the Scholar as required, in consultation with the Research Supervisor.

**Course Work:** The One-Year preparatory Programme to be undertaken by the Scholars, before the commencement of the Research, comprising of courses related to research methods and the domain under study.

**Course:** The specific Subject (paper/component) of a Programme. They vary in nature, quantum, instructions, importance, progression, evaluation and credits based on the expected and measurable outcome of the Course.

**Credit:** A unit by which the course work/ learning emphasis/ teaching efforts are measured, especially in terms of Lecture/ Lab Sessions/ Tutorials. It determines the number of hours of instructions required per week in a semester. A credit is equivalent to 15 hours of contact and 30 hours of self-study.

**Formative Assessment:** Continuous formal and informal procedures to assess learning and adapt measures to improve learning effectiveness. These are Student, Course and Faculty centric measures.

**Summative Assessment:** Methods to summarise the Students' learning in a course at the end of the term. This is executed along with Formative Assessment methods.

**Registration:** Of the PhD Scholar, post proposal defence based on the report of approval signed by the School Research Committee (SRC).

**Colloquium:** A periodic academic seminar conducted by the Doctoral Committee to assess the progress of Research of the scholar to review progress on a half-yearly basis post the 1-year coursework and suggest changes for incorporation or identify unique propositions.



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**Synopsis:** A summary of the Research work inclusive of the Statement, Objectives, Methodology and Outcome/s of the research - the thesis in a nutshell.

**Plagiarism:** Wrongful representation of other's ideas, expressions and thoughts in the thesis, without due acknowledgement, which is against academic integrity and ethics.

**Viva Voce:** Defence of the Research work or progress by the Scholar through an Interactive presentation before an invited audience including the Supervisor and others.

**IPR:** Intellectual Property Rights refers to the intangible creations of human intellect, including ideas, thoughts and concepts that may be owned by the creator and cannot be used by others without due acknowledgement or process.

**INFLIBNET:** Information and Library Network Services is an Inter University centre of the UGC to promote and facilitate Library and Information resource sharing for furthering Knowledge and Research among Higher Education.

#### **7. Duration of the Programme:**

The Ph.D. Programme shall be for a minimum duration of 3 Years for Full Time/ Part Time scholars, including course work and a maximum duration of 6 years for FT/ PT Scholars from the date of admission to the Programme. Any extension beyond the above limits will be through a process of re-registration, as governed by the relevant Academic Statutes/ Ordinances of the University. Persons with Disabilities (Divyaangs of more than 40%), may be allowed a relaxation of additional 2 years and Women candidates shall be eligible for maternity leave as per UGC Regulations of 2022.

#### **8. Procedure for admission:**

The University shall call for applications from prospective PhD Candidates periodically. However, candidates can apply through the year. Such applications will be processed periodically based on the availability of positions.

The University shall admit Scholars through a Personal Interview on qualifying a selection process as described under CUPS to assess research aptitude, analytical approach and writing skills. The selection process shall be conducted at the University/ Selected Centres and/or in the online mode, based on requirement and suitability.





The University shall call the qualified candidates for the interview. The final selection shall be based on an assessment with a weightage of 70% for the first two stages described under CUPS and 30% for the personal interview.

**Enrolment** to the Programme is subject to the number of Research Supervisors and other applicable facilities.

**Notification:** The Office of the Registrar of the University shall notify the details of admission to the Programme, in the institutional website and through appropriate platforms.

The University shall publish the list of Supervisors (Name, Designation, Association) along with the details of the registered Scholars, topic of the Research and Date of admission on the Website, [www.chanakyauniversity.edu.in](http://www.chanakyauniversity.edu.in) to be updated every academic year.

#### 9. Recognition of Supervisors:

Permanent Faculty members of Chanakya University with a PhD, recommended by the relevant SRC based on UGC Guidelines will be recognised as Supervisors<sup>5</sup> **as notified in the UGC Regulations of 2022.**

**Co-Supervisor:** The SRC may appoint a co-Supervisor from within/outside the respective School/University, based on the need, expertise and by recommendation of the Research Supervisor.

A Professor/ Associate Professor/ Assistant Professor can guide a maximum of 8/6/4 scholars respectively at a given time as Supervisor. The **Research Supervisor cannot increase the number of scholars under guidance by using recognition from multiple Universities/ Institutions.** However, a Research Supervisor cannot take any additional Scholars as per the stipulation in the UGC Regulations 2022, that states 'Faculty members with less than three years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until superannuation and as a co-supervisor after superannuation, but not after attaining the age of 70 years'.

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<sup>5</sup> The UGC Regulations 2022 additionally states that 'Such recognized research supervisors cannot supervise research scholars in other institutions, where they can only act as co-supervisors. Ph.D. awarded by a university under the supervision of a faculty member who is not an employee of the university or its affiliated Post- graduate Colleges/institutes would be in violation of these Regulations.' This will be followed by the University.



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A Letter of Mutual Consent will be signed by both the Research Supervisor and the PhD Scholar to be guided, which will be valid until the successful completion of the PhD viva voce and submission of the final PhD thesis.

**Change of Supervisor:** A Change of Supervisor may be effected due to institutional Separation/ Indisposition/ Incompatibility, affecting academic interface between the Supervisor and the Scholar. In such a case the following will come into effect-

1. The exiting Research Supervisor will cease to be Research Supervisor of the PhD Scholar w.e.f the date of resignation or intimation to the Chair of the relevant School Research Committee.
2. The PhD Scholar will be asked by the School Research Committee (SRC) to help identify another Research Supervisor, meeting the criteria mandated by the UGC Regulations of 2022, taking also into account the availability and willingness of the new Research Supervisor.
3. In case the PhD Scholar is not able to identify a new Research Supervisor within a week of being informed about the exit of the Research Supervisor, the University Research Board (URB) under advisement from the School Research Committee will appoint a new Research Supervisor.

The Letter of Mutual Consent will be re-signed by the new Research Supervisor and the PhD Scholar.

#### 10. The Functions of the Supervisor/ Co-Supervisor

The Supervisor will be responsible for guiding **Research**, facilitate **Professional** learning and mentoring the **Holistic** development of the Scholar in alignment with the vision of the University. The Co-Supervisor will play only an advisory role and will not be an approving authority.

#### 11. Course Work:

Course work is a **mandatory preparatory course for PhD** for both Full Time and Part Time candidates as per the UGC Regulations 2022. The appropriate courses to enhance the domain knowledge and Research skills of the Scholar shall be decided by the SRC, within a band of 14 - 20 credits (minimum of 12 credits as per UGC Notification 2022). The Scholars may be allowed to pursue online courses as part of the Course work as approved by the



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DAC/Supervisor/Dean, **up to 40% of the given credits of the Course work.** The University will upload the courses into the Academic Bank of Credits to ensure equivalence.

The Candidates must complete their course work **within a period of 18 months** from the date of Ph.D. admission. Failure to complete the course work within the stipulated period shall entail automatic cancellation of admission. Under exceptional circumstances, the SRC **may grant an extension of 06 months.** Course work examination will be conducted as per the choice of course taken by the Scholars and the results will be communicated by the Course examiner to the Office of Registrar Evaluation.

The Scholar has to obtain a **minimum Grade of C+ (50%)** in the course work to be eligible to continue in the Programme. Scholars who do not obtain the minimum Grade will be given 3 months to reprepare and undergo another examination to be conducted by the Registrar Evaluation as endorsed by the SRC. Only one additional chance per course shall be given to the PhD Scholar to ensure eligibility to continue the Programme after having successfully passed all courses with >50% marks.

**Mandatory requirements during Research period:** Before completing and submitting their Thesis, the PhD Scholars will be required

- to present proof of having published at least one article in a peer-reviewed journal of repute in the specific research domain and is related to the research topic being pursued.
- to present proof of having presented their research topic in any event of repute (international/national Conference/Workshop/Seminar).

A PhD Scholar may exit the University due to institutional Separation/ Indisposition/ Incompatibility/ Transfer, or any other reason. Upon formal submission of a letter stating withdrawal from the PhD Programme with valid reason/s, the PhD Scholar will follow the exit policy applicable to all students of the University while the University will provide all relevant certificates indicating the level of completion by the PhD Scholar (e.g. coursework completion).

## **12. Study Leave:**

Full Time Scholars are permitted to avail study leave on following grounds:

**Visit/ Internships at Institutions/ Industry:** The PhD scholar is encouraged to participate in active research environments, during the PhD Programme with a stay of 1-6 months at a



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Foreign/Indian research institutions relevant to the Research domain, as approved by the School Research Committee.

**Research Expeditions:** These form a part of experiential learning in research related domains, ranging **1 to 3 months**, such as internship in National/International Research Laboratories or Centres of Excellence. The approval for the nature and duration of expedition shall be at the discretion of the SRC, on the recommendations of the Research Supervisor.

**Exchange/ Sponsorship Programmes:** The Scholars may avail various Scholarships/ Fellowships/ etc. offered by Institutions/ Governments/ Agencies/ others and on selection, may be permitted to proceed on Research expedition/ exchange Programmes for which the Fellowship is awarded. Such period may be considered as part of the PhD Programme and delays, if any, due to such expeditions shall be condoned.

**Other Leave:** The Scholars shall apply for leave of absence as per the policy of the University. As per the UGC Regulations of 2022, "Female Ph.D. Scholars may be provided Maternity Leave/Child Care Leave for up to 240 days in the entire duration of the Ph.D. programme".

### **13. Research Committees and their Functions:**

The University shall set up 4 distinct bodies related exclusively for the conduct and promotion of Research. They include,

#### **The Research & Innovation Council (RIC):**

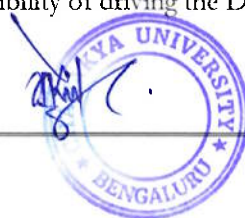
Headed by the Vice Chancellor, it shall include the Dean Academic Affairs along with distinguished researchers co-opted from the Industry and Academia as external members, for the formulation of Research Policy and Guidelines for the University. The Council shall strive to foster a culture of Research among Students & Faculty along with global collaboration.

#### **The University Research Board (URB):**

The University Research Board (URB) headed by the Vice Chancellor will create/update compliance standards on a regular basis, monitor research to ensure quality and timeliness of all research outputs, and submit statutory documents.

#### **The School Research Committee (SRC):**

Established at the School level, the committee is convened by the Dean of the School. The SRC shall have the primary responsibility of driving the Doctoral Programme in line with the



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stated vision of the University. As the first-level recommending body, the functions of the SRC will include constituting the Interview Boards for PhD Applicants, conversion of Full Time to Part Time Scholars and vice versa, and endorsing study leave to the Scholars as per reasons specified.

The Specific Responsibilities of the Committee include,

- Drive the Doctoral research Programme as per the policy of the University
- Identification of Research Supervisors and Co-Supervisors with Domain expertise
- Ratification of Domain experts for inclusion in the Panel of Experts for examining the thesis submitted by the Supervisor in consultation with the DAC.
- Fostering Research of Inter/ Multi / Transdisciplinary and Applied in Nature
- Aligning the Research Outcome with the Vision of the University
- Promoting Collaborative Research orientation with global institutions and scholars, through MoUs to ensure primary claim to the work of the PhD Scholars
- Seeking to establish a benchmark in Research and Innovation through IPRs.
- Suggest Commendation/Continuation/Cancellation of registration based on Review.

In case a need arises to reconstitute the SRC due to the exit of members, the Hon'ble Vice Chancellor shall reconstitute the SRC.

#### **The Doctoral Advisory Committee (DAC):**

The Research Supervisor of the scholar shall be the Convener of this Committee. The Doctoral Advisory Committee shall be constituted upon the completion of the course work with minimum credits. The DAC shall consist of 3 members including the Research Supervisor, Co-supervisor (optional) and Domain Experts/ Practitioners from the Industry/ Profession depending on the topic. The constitution of DAC and ratification of the DAC members as submitted by the Research Supervisor shall be approved by the SRC.

The **Committee** shall have the following responsibilities:

- Review the research proposal and finalise the topic of research.
- Guide the Scholar to develop the design and methodology of research.
- Review the progress of the research and recommend modifications, if needed.
- Encouraging the Scholar to attain highest standards in Research outcome.
- Above all, to ensure the highest possible quality & ethical standards in Research.



The **Doctoral Advisory Committee Meeting** shall be conducted as and when required, but not less than twice in a year. The nature and frequency of the Meeting, assessment of progress, review of Research and other related matters shall be at the discretion of the Committee.

In case of the exit of any member of the DAC, the Research Supervisor will inform the SRC with suggestion for suitable replacement, for approval and next steps.

#### **14. Submission of Thesis:**

The Draft thesis shall be submitted within 3 months' period from the date of submission of the Synopsis. The candidate should submit Four copies of the thesis in person along with virus-free soft copy. The hard and soft copies must be exactly same. The Thesis, in the prescribed format, must be signed by the Research Supervisor and forwarded by SRC. Any publication resulted from the PhD work, and proof of participation in conferences may also be submitted along with the thesis for onward transmission to the Examiners.

While submitting the thesis for evaluation, the thesis shall have an undertaking from the research scholar and a certificate from the Research Supervisor attesting to the originality of the work, vouching that there is no plagiarism and that the work has not been submitted for the award of any other degree/diploma of the same Institution where the work was carried out, or to any other Institution.

The thesis shall be submitted together with an originality report produced by a prescribed antiplagiarism software application. The supervisor shall receive an originality report on the whole text of the thesis and shall take this report into account in the evaluation on the submission.

**Note:** Avoiding plagiarism and other forms of academic misconduct in the authorship of the thesis remains the sole responsibility of the researcher. If the Research Supervisor suspects plagiarism, he or she may ask for an investigation.

The Academic Council shall evolve a mechanism using well-developed software and gadgets to detect plagiarism and other forms of academic dishonesty. While submitting for evaluation, the thesis shall have an undertaking from the research scholar and a certificate from the Research Supervisor attesting to the originality of the work. The similarity shall not exceed 10% excluding own publications, Literature review and the List of References.





#### **15. List of Examiners:**

A list of 10 External Examiners from India and abroad in the rank of Associate Professors and above, shall be provided at the time of Synopsis submission by the Research Supervisor for selection of two External Examiners (to be selected randomly) for adjudicating the Thesis. The Research Supervisor shall submit a different list of examiners for each candidate, when two or more theses are submitted within a period of 6 months.

The Supervisor in consultation with the Doctoral Advisory Committee shall submit a panel of Examiners with their brief CV and list of publications in the relevant field, at least ONE month before the expected date of submission of the thesis. The panel shall include 10 Examiners from India, preferably from outside the state of Karnataka. However, accomplished Examiners from reputed institutions within the State may also be included. International Examiners, if included, should be in addition to the 10 Indian examiners.

#### **16. Adjudication:**

The Registrar Evaluation shall take all the necessary measures for the adjudication of the thesis, conduct of the Viva voce, declaration of result and Issuance of certificate.

The Report of the two Examiners shall include all aspects of the Research work including Suggestions, Recommendations and Unique propositions of the work.

The external examiner(s), at least one of them, shall certify that the thesis in examination has been duly reviewed for the award of the Degree.

If both the Examiners have recommended for the award of Ph.D., the candidate shall be permitted to take the public viva-voce. If one examiner recommends against the award of Ph.D., the thesis will be referred to a Third examiner (third examiner) for adjudication. If two examiners recommend against the award of Ph.D. Degree, the thesis will be rejected. Upon rejection of the thesis, the Scholar shall be asked to resubmit with suitable corrections and revisions within 6 months of receipt of the intimation of rejection. The process of the public viva-voce shall remain the same. If the thesis is rejected the second time, the Scholar shall discontinue the Programme from the University.

If the report from any one of the external examiners is not received within 3 months, the thesis will be sent to another examiner from amongst the approved panel.



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#### **17. The Public Viva Voce Exam:**

The **viva-voce examination** is based, among other things, on the critiques given in the evaluation report, and shall be conducted by the Research Supervisor and at least one of the two external examiners and shall be open to be attended by Members of the Doctoral Advisory Committee, faculty, research scholars and invited audience.

A satisfactory performance in the viva-voce is compulsory. If a scholar fails in the viva-voce examination, the scholar shall be allowed to re-appear after 3 months from the date of first viva-voce before a panel constituted for this purpose. If the candidate fails again, the candidature for Ph.D. Degree will be rejected.

#### **18. Innovation:**

If the PhD research constitutes potential for innovation for the protection of Intellectual Property Rights (IPRs), the Scholar with the consent of the Supervisor, may request that the submitted dissertation be treated discreetly before the thesis is submitted for assessment, until the defence/viva-voce.

The **IPR Cell** or the competent body of the University designated for the purpose shall conduct the procedure for legal and commercial protection of research results, in accordance with the relevant Regulations. In this case, the public defence can be extended, by not later than a year, from the day of commencement of the procedure of evaluation of the thesis, on producing the Certificate of Technology Transfer from the competent authority.

#### **19. Consolidated Recommendation:**

If the candidate passes the viva-voce examination, then the two-member (Examiner and Supervisor) board shall consolidate the recommendations for the award of Ph.D. Degree based on the reports of adjudication of the thesis. The consolidated recommendations are submitted to The Registrar (Evaluation), along with other documents, as may be required by the University, which shall be placed before the Academic Council for approval. The Ph.D. degree will be awarded in Convocation either in person or in absentia.



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## **20. Award of Research Degree:**

Candidates who qualify for Ph.D. degree under the seal of the university and signed by the Vice Chancellor at the next convocation held for conferring degrees.

The TITLE OF THE THESIS shall be indicated in the degree certificate. The degree certificate shall not indicate the subject or specialty.

**Issuing a Provisional Certificate:** Prior to the actual award of the degree, the University shall issue a provisional certificate to the effect that the Degree has been awarded in accordance with the provisions of the Chanakya University Regulations and UGC guidelines.

## **21. Publication of the Thesis:**

The Thesis awarded by the University could be published as a whole or in parts, on the recommendation of the Examiners and with the prior permission of the University. A Copy of the thesis as a book shall be submitted to the University Library.

## **22. Depository with INFLIBNET/Institutional Electronic Archive:**

Following the successful completion of the evaluation process, the University shall submit an electronic copy of the PhD thesis to the INFLIBNET/Institutional Electronic Archive, for universal access and dissemination.

## **23. Code of Ethics:**

The PhD shall be governed by the Code of Ethics comprising a well laid procedure for handling allegations of misconduct or plagiarism in research. The University shall conduct regular training sessions on '**Academic & Research Ethics**' for the benefit of all concerned.



#### **24. Cancellation or Revocation of Cancellation of the Registration:**

The requests for the Cancellation or the Revocation of Cancellation of Ph.D. registration shall be forwarded by the School Research Committee to the University Research Board and then to the Academic Council whose final decision rests.

